



## Biclustering in drug design

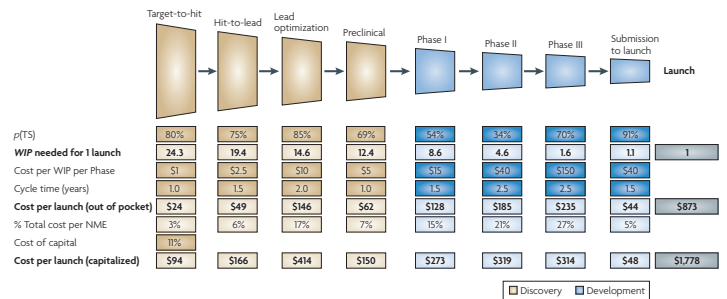
Djork-Arné Clevert, Günter Klambauer, Andreas Mayr, Andreas Mitterecker, Ulrich Bodenhofer, Martin Heusel, and Sepp Hochreiter

Institute of Bioinformatics, Johannes Kepler University Linz

Potsdam, September 25th, 2012



## R&D costs for one new molecular entity



Paul et al., Nature Reviews Drug Discovery, 2010, 9(3):203-214



## Pharmaceutical "ice age"

- key patent expirations
- cost-constrained healthcare system
- prescription of generic drugs
- more regulatory requirements
- decreasing number of new drugs approved by the US Food and Drug Administration (FDA) or the European Medicines Agency (EMA)
- rapidly rising R&D cost



## Motivation

- drug candidate failing in Phase I yields a "out of pocket" cost of \$428M ("capitalized" cost \$610M)
- approx. 80% promising drug candidates fail before end of Phase I (e.g. undetected toxicity)
- **Aim:** Increase the productivity of the R&D process and avoid expensive late-stage clinical failures
  - de-risk drug candidates during the early preclinical stages
  - reduce the time gap between the selection of drug candidate and the identification of potential side effects
- **Data:** Transcriptional effects of the drug candidate on a cell line (gene expression), phenotypic data (biological assays), chemical structure and properties (chemotypes)
- **Problem:** How to extract the relevant information?



## Biclustering applications in QSTAR

- **Definition:** Biclustering simultaneously organizes a data matrix into subsets of rows and columns in which the entities of each row subset are similar to each other on the column subset and vice versa.
- Gene expression
  - columns compounds, rows genes  $\Rightarrow$  e.g. compounds that trigger the same pathway
- Bioassays
  - columns compounds, rows bioassay activity  $\Rightarrow$  e.g. compounds that are active on similar targets
- Structural fingerprints
  - columns compounds, rows fingerprints  $\Rightarrow$  e.g. compounds that share a chemical substructure



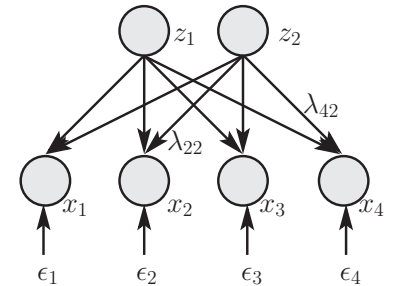
## FABIA: The model I

factor  $z$

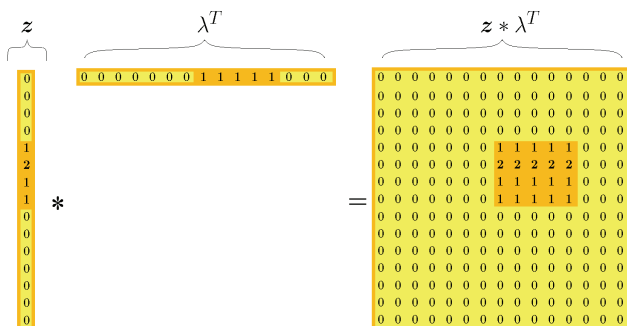
loading matrix  $\Lambda$

observations  $x$

noise  $\epsilon$



## Biclustering: The idea



## FABIA: The model II

$$x = \lambda z + \epsilon = \sum_{i=1}^p \lambda_i z_i^T + \epsilon$$

- $x$  are the observations
- $\lambda$  is the matrix of factor loadings
- $z = (z_1, \dots, z_p)^T$  is the factor matrix
- $p$  number of biclusters
- $\lambda_i \in \mathbb{R}^n$  is the sparse prototype vector of the  $i$ -th bicluster
- $z_i \in \mathbb{R}^l$  is the sparse vector of factors of the  $i$ -th bicluster
- $\epsilon \in \mathbb{R}^{n \times l}$  is additive noise
- the noise is independent of  $z$
- $\epsilon$  is  $\mathcal{N}(0, \Psi)$ -distributed (the covariance matrix  $\Psi \in \mathbb{R}^{n \times n}$  is diagonal)



## FABIA: Bayes framework

### Loading prior

- Sparseness on the loadings
- Laplace prior
- $p(\lambda_i) = \left(\frac{1}{\sqrt{2}}\right)^n \prod_{j=1}^n e^{-\sqrt{2}|\lambda_{ji}|}$

### Factor prior

- Sparseness on the factor
- Laplace prior
- $p(z) = \left(\frac{1}{\sqrt{2}}\right)^p \prod_{i=1}^p e^{-\sqrt{2}|z_i|}$

### Problem

Laplace prior on factors leads to intractable likelihood:

$$p(x|\lambda, \Psi) = \int p(x|z, \lambda, \Psi) p(z) dz$$

Solution: Prior on factors is replaced by maximum of a Gaussian function family  $\Rightarrow$  variational approach

$$p(z) \approx \arg \max_{\xi} p(z|\xi)$$



## FABIA: Bayes framework

### Loading prior

- Sparseness on the loadings
- Laplace prior
- $p(\lambda_i) = \left(\frac{1}{\sqrt{2}}\right)^n \prod_{j=1}^n e^{-\sqrt{2}|\lambda_{ji}|}$

### Factor prior

- Sparseness on the factor
- Laplace prior
- $p(z) = \left(\frac{1}{\sqrt{2}}\right)^p \prod_{i=1}^p e^{-\sqrt{2}|z_i|}$

### Problem

Laplace prior on factors leads to intractable likelihood:

$$p(x|\lambda, \Psi) = \int p(x|z, \lambda, \Psi) p(z) dz$$

Solution: Prior on factors is replaced by maximum of a Gaussian function family  $\Rightarrow$  variational approach

$$p(z) \approx \arg \max_{\xi} p(z|\xi)$$



## FABIA: Bayes framework

### Loading prior

- Sparseness on the loadings
- Laplace prior
- $p(\lambda_i) = \left(\frac{1}{\sqrt{2}}\right)^n \prod_{j=1}^n e^{-\sqrt{2}|\lambda_{ji}|}$

### Factor prior

- Sparseness on the factor
- Laplace prior
- $p(z) = \left(\frac{1}{\sqrt{2}}\right)^p \prod_{i=1}^p e^{-\sqrt{2}|z_i|}$

### Problem

Laplace prior on factors leads to intractable likelihood:

$$p(x|\lambda, \Psi) = \int p(x|z, \lambda, \Psi) p(z) dz$$

Solution: Prior on factors is replaced by maximum of a Gaussian function family  $\Rightarrow$  variational approach

$$p(z) \approx \arg \max_{\xi} p(z|\xi)$$



## FABIA: Variational EM updates

### E-step:

$E(\tilde{z}_j | x_j) = (\lambda^T \Psi^{-1} \lambda + \Xi_j^{-1})^{-1} \lambda^T \Psi^{-1} x_j$  and  
 $E(\tilde{z}_j \tilde{z}_j^T | x_j) = (\lambda^T \Psi^{-1} \lambda + \Xi_j^{-1})^{-1} + E(\tilde{z}_j | x_j) E(\tilde{z}_j | x_j)^T$  where  
 $\Xi_j = \text{diag}(\text{diagvect}(\sqrt{E(\tilde{z}_j \tilde{z}_j^T | x_j)}))$  is the update for the variational parameter.

### M-step:

$$\lambda^{\text{new}} = \frac{\frac{1}{J} \sum_{j=1}^J x_j E(\tilde{z}_j | x_j)^T - \frac{\alpha}{J} \Psi \text{sign}(\lambda)}{\frac{1}{J} \sum_{j=1}^J E(\tilde{z}_j \tilde{z}_j^T | x_j)}$$

$$\Psi^{\text{new}} = \Psi^{\text{EM}} + \text{diag}(\text{diagvect}(\frac{\alpha}{J} \Psi \text{sign}(\lambda) | \lambda^{\text{new}})^T)), \text{ where}$$

$$\Psi^{\text{EM}} = \text{diag}(\text{diagvect}(\frac{1}{J} \sum_{j=1}^J x_j x_j^T - \lambda^{\text{new}} \frac{1}{J} \sum_{j=1}^J E(\tilde{z}_j | x_j) x_j^T)).$$

$\alpha$  controls the degree of sparseness (parameter of the Laplacian prior)



## FABIA: Variational EM updates

### E-step:

$E(\tilde{z}_j | x_j) = (\lambda^T \Psi^{-1} \lambda + \Xi_j^{-1})^{-1} \lambda^T \Psi^{-1} x_j$  and  
 $E(\tilde{z}_j \tilde{z}_j^T | x_j) = (\lambda^T \Psi^{-1} \lambda + \Xi_j^{-1})^{-1} + E(\tilde{z}_j | x_j) E(\tilde{z}_j | x_j)^T$  where  
 $\Xi_j = \text{diag}(\text{diagvect}(\sqrt{E(\tilde{z}_j \tilde{z}_j^T | x_j)}))$  is the update for the variational parameter.

### M-step:

$$\lambda^{\text{new}} = \frac{\frac{1}{I} \sum_{j=1}^I x_j E(\tilde{z}_j | x_j)^T - \frac{\alpha}{I} \Psi \text{sign}(\lambda)}{\frac{1}{I} \sum_{j=1}^I E(\tilde{z}_j \tilde{z}_j^T | x_j)}$$

$$\Psi^{\text{new}} = \Psi^{\text{EM}} + \text{diag}(\text{diagvect}(\frac{\alpha}{I} \Psi \text{sign}(\lambda) (\lambda^{\text{new}})^T)), \text{ where}$$

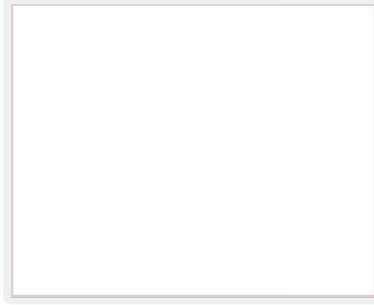
$$\Psi^{\text{EM}} = \text{diag}(\text{diagvect}(\frac{1}{I} \sum_{j=1}^I x_j x_j^T - \lambda^{\text{new}} \frac{1}{I} \sum_{j=1}^I E(\tilde{z}_j | x_j) x_j^T)).$$

$\alpha$  controls the degree of sparseness (parameter of the Laplacian prior)



## Biclustering of bioassays and compounds

### Matrix plot (dim 270,000 x 4,000)



### Bioassay data details

- Data source: ChEMBL
- # of assays: ca. 4,000
- # of compounds: ca. 270,000
- Sparseness: ca. 1:2,000



## FABIA paper

**BIOINFORMATICS ORIGINAL PAPER** Vol. 26 no. 12 2010, pages 1520–1527  
doi:10.1093/bioinformatics/btq227

Gene expression

Advance Access publication April 23, 2010

### FABIA: factor analysis for bicluster acquisition

Sepp Hochreiter<sup>1,\*</sup>, Ulrich Bodenhofer<sup>1</sup>, Martin Heusel<sup>1</sup>, Andreas Mayr<sup>1</sup>, Andreas Mitterecker<sup>1</sup>, Adetayo Kasim<sup>2</sup>, Tatsiana Khamiakova<sup>2</sup>, Suzy Van Sanden<sup>2</sup>, Dan Lin<sup>2</sup>, Willem Talloen<sup>3</sup>, Luc Bijnsens<sup>3</sup>, Hinrich W. H. Göhlmann<sup>3</sup>, Ziv Shkedy<sup>2</sup> and Djork-Arné Clevert<sup>1,4</sup>

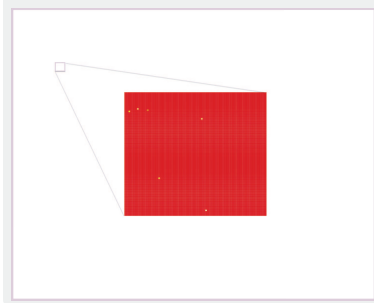
<sup>1</sup>Institute of Bioinformatics, Johannes Kepler University, Linz, Austria, <sup>2</sup>Institute for Biostatistics and Statistical Bioinformatics, Hasselt University, Hasselt, <sup>3</sup>Johnson & Johnson Pharmaceutical Research & Development, Division of Janssen Pharmaceutica, Beerse, Belgium and <sup>4</sup>Department of Nephrology and Internal Intensive Care, Charité, Berlin, Germany

- Developed for -omics data, where FABIA outperformed all prevalent biclustering methods
- Bioassay data and fingerprint data are **sparse** and **big** ⇒ computational expensive factorization ⇒ improvements required
  - sparse matrix algebra where only existing entries are coded
  - an efficient computation and a very low memory footprint



## Biclustering of bioassays and compounds

### Matrix plot - close up (dim 100 x 100)

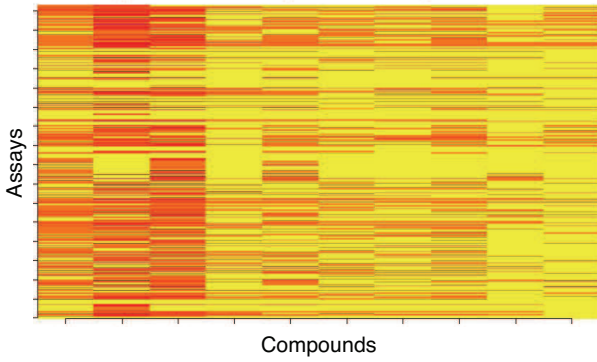


### Bioassay data details

- Data source: ChEMBL
- # of assays: ca. 4,000
- # of compounds: ca. 270,000
- Sparseness: ca. 1:2,000



## Biclustering of bioassays and compounds



## Biclustering of fingerprints and compounds

Matrix plot (dim 16e+6 x 1e+6)

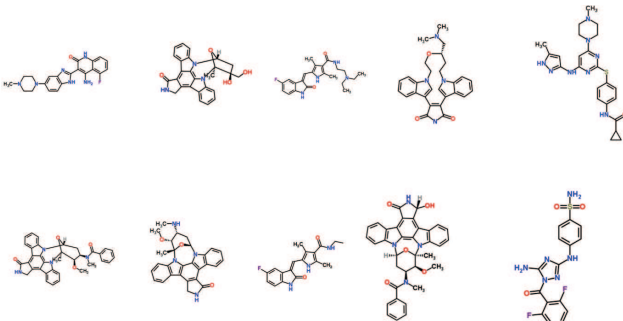


Bioassay data details

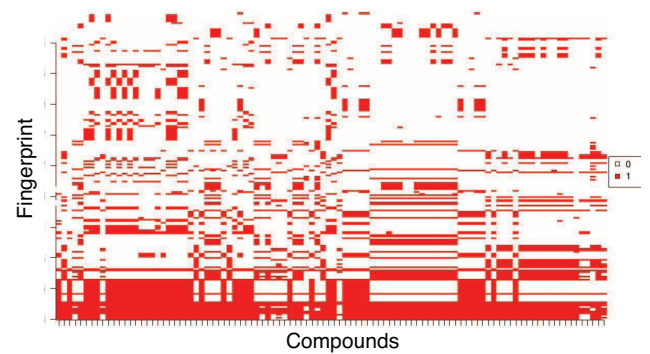
- Data source: ChEMBL
- # of fingerprints: ca. 16,000,000
- # of compounds: ca. 1,000,000
- Sparseness: ca. 1:150,000



## Compounds of the bioassay bicluster

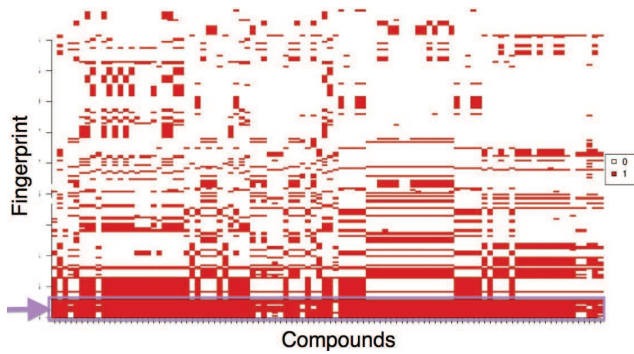


## Bicluster of fingerprints and compounds I

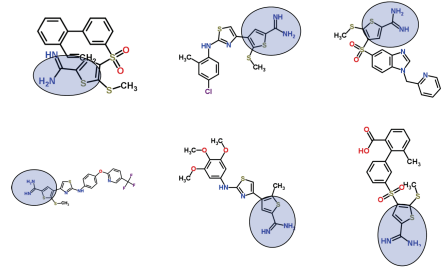


Computational costs ca. 3h (single core)

## Bicluster of fingerprints and compounds I

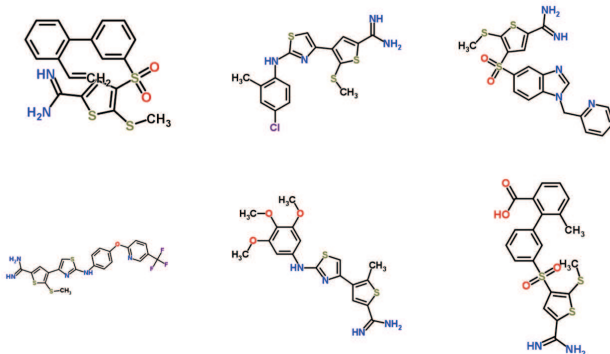


## Compounds of the fingerprint bicluster



- All compounds of this bicluster show kinase bioactivity (urokinase-type plasminogen activator)

## Compounds of the fingerprint bicluster



## Conclusion



- FABIA is a generative model for biclustering of high-dimensional data
- Optimized for sparse big data sets
- Biclustering in drug design can help in selecting compounds with strong on-target effects and might help to impute missing measurements
- Identifies in ChEMBL biclusters that contain compounds with a shared chemical substructure.
- These substructures could be related to bioactivity via the compounds which are screened on bioassays.

## Acknowledgments



- All members of the IWT project, especially Hinrich Göhlmann

## Open source software



- FABIA is publicly available as Bioconductor R packages
- Software homepages:
  - <http://www.bioinf.jku.at/software/fabia/fabia.html>